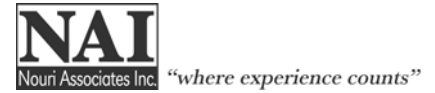




**Nouri Associates' Risk Management Strategies provides an enterprise wide framework for improving IT controls and mitigating undue risk in order to achieve compliance with multiple regulatory requirements.**



Regulatory compliance is having a profound impact on how organizations manage risk and exercise due care going forward. For many, the administrative burden will be unbearable. For organizations in highly regulated industries such as financial services, healthcare and telecomm. managing compliance with diverse regulatory requirements from a number of national and international sources is extremely expensive and unsustainable.

If managed right, compliance efforts can result in significant performance improvements in IT operations if they are combined or coordinated with IT process and service transformation initiatives using industry best practices such as ITIL® and ISO 17799.

#### **What are the reasons for developing a Compliance Architecture?**

- Address Multiple Regulatory Requirements
- Implement Suitable Controls in IT
- Improve IT and Business Alignment
- Implement Security Controls
- Improve Compliance Efficiencies
- Implement IT Best Practices
- Increase Auditor's Confidence
- Reduce Compliance Costs

#### **What is RMS?**

The Risk Management Strategies (RMS) practice of Nouri Associates is focused on helping its clients meet regulatory compliance requirements and challenges by leveraging industry recognized IT controls and risk management frameworks such as COBIT and best practices frameworks such as ITIL® and ISO 17799.

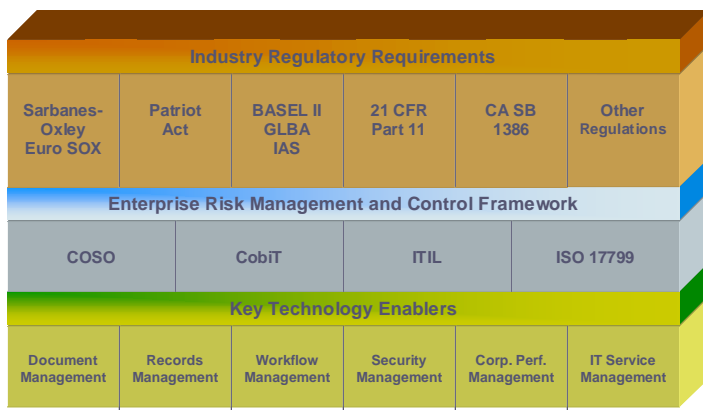
#### **What key issues are addressed?**

- How to meet the common requirements of diverse regulatory sources?
- How to align and rationalize multiple IT quality and process improvement efforts?
- How to increase management's confidence in the IT controls?
- How to do more with existing or fewer resources in IT?
- How to keep the escalating costs of regulatory compliance under control?
- How to effectively address the myriad of regulatory compliance requirements?
- How to leverage compliance initiatives to achieve higher performance in IT?
- How to ensure best practices are leveraged by the organization?
- What, how, and where to apply technology and automation to better meet regulatory needs?

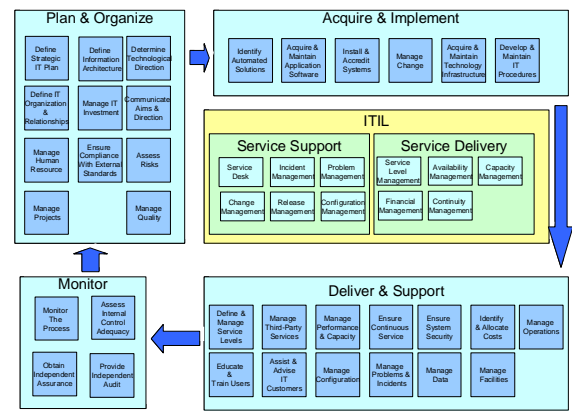
#### **NAI Practice Brief:**

#### **Risk Management Strategies**

- **COSO** (Enterprise Risk)
- **COBIT** (IT Control Objectives)
- **ITIL** (IT Operations Controls)
- **ISO 17799** (Security Controls)



**NAI – Compliance Architecture Framework**



**ISACA – COBIT Key Process Domains**

A typical RMS study will include the following deliverables:

- **Baseline Assessment** - A multi-dimensional evaluation of existing processes and policies against best practices and peer groups using the COBIT framework.
- **Strategy Development** - A concrete roadmap for implementing the right level of general IT controls to ensure compliance with the regulatory requirements.
- **Technology Opportunity Analysis** - A comprehensive review of potential technologies to review for specific process domains in order to meet the regulatory needs.
- **Management Presentation** - A concise presentation of risk domains that require management attention and recommendations to deal with each effectively.

**Past RMS Engagements**

- For a regional healthcare processor conducted a detailed assessment of IT Operations and required general IT controls.
- For a regional Financial Institution, supported the overall requirements of a number of regulations using industry standard frameworks such as ITIL and CMMI.
- For a major Financial Services company performed a review of general IT controls and vendor sourcing strategies using the COBIT framework.

**Benefits**

- Addresses functional overlap in regs.
- Builds trust and credibility with auditors
- Coordinates compliance efforts
- Improves general IT controls
- Improves audit process and repeatability
- Improves communications
- Improves value of IT controls
- Off the shelf support material
- Reduces compliance costs

**Why Partner With Nouri Associates?**

- **Trusted Advisors** – Our clients consider us to be trusted advisors. We are known for integrity, trust and reliability.
- **Experienced and Skilled Staff** – Our consultants have an average of 18+ years of IT industry and consulting experience in their area of focus and specialization.
- **Formal Change Management** – We use Organizational Development experts and formal change management methods on our process improvement programs.
- **Independence** – We provide truly unbiased and independent advice. We are not compromised by any technology vendor alliances. We represent YOU.
- **High Quality** – The quality of our work and consultants are the main reasons why our clients choose to bring us back repeatedly.
- **Better Value** – Our low-overhead business model allows us to deliver superior and unparalleled service and expertise at highly competitive rates.

**For more information please contact Nouri Associates (www.nouriasociates.com) at 1.888.556.3618 Ext. 612 or via email at info@nouriasociates.com**